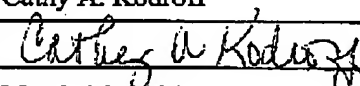
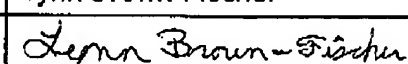


PTO/SB/21 (03-03)

Approved for use through 4/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	10/663,934	
		Filing Date	September 16, 2003	
		First Named Inventor	Ravi Prasad	
		Group Art Unit	1772	
		Examiner Name		
Total Number of Pages in this Submission	11	Attorney Docket Number	HOETRE24ACONUSA	
<b>ENCLOSURES (check all that apply)</b>				
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____		
		<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 2 pages-Request for Correction of Filing Receipt 2 pages-Exhibit A-Copy of filing receipt 3 pages-Exhibit B-Copy of Application Data Sheet 3 pages-Exhibit C-Preliminary Amendment		
Remarks Fax number- 703-746-9195 Customer No. 00270				
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>				
Firm or Individual Name	Howson and Howson Cathy A. Kodroff			
Signature				
Date	March 16, 2004			
<b>CERTIFICATE OF TRANSMISSION/MAILING</b>				
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: 3-16-04				
Typed or printed name	Lynn Brown-Fischer			
Signature		Date	March 16, 2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

CUSTOMER NO. 00270

HOETRE24ACONUSA

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of ) Group Art Unit: 1772  
Prasad et al. ) Examiner:  
Appln. No. 10/663,934 )  
Filed: September 16, 2003 )  
For: PROCESS FOR PRODUCING )  
COATED POLMERIC ARTICLES )  
AND THE ARTICLES PRODUCED )  
THEREBY ) March 16, 2004

Attention OIPE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Sir:

Enclosed is a copy of the Filing Receipt (Exhibit A) received in the above-identified application. Under the caption, Domestic Priority Data as Claimed by Applicant, the serial number listed as 09/135,229 should be 09/135,228. The serial number 09/135,229 is marked in red on the attached copy.

Applicant respectfully requests that the serial number be corrected to 09/135,228 as the same is found on the Application Data Sheet, (Exhibit B) and a Preliminary Amendment was sent to the USPTO on January 2, 2004 to correct the specification, (Exhibit C).

Certificate of Mailing Under 37 CFR § 1.8

I hereby certify that this correspondence is being facsimile  
Transmitted to the United States Patent and Trademark Office  
on March 16, 2004.

Date

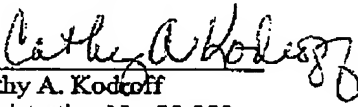
Lynn Brown-Fischer  
Signature

Lynn Brown-Fischer  
Typed or Printed name

The Director is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees to our Deposit Account Number 08-3040.

Respectfully submitted,

HOWSON AND HOWSON  
Attorneys for Applicant

By   
Cathy A. Kodroff  
Registration No. 33,980  
Spring House Corporate Center  
Box 457  
Spring House, PA 19477  
Phone: (215) 540-9200  
Fax: (215) 540-5818


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 UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/663,934	09/16/2003	1772	786	HOETRE24ACON		22	2

CONFIRMATION NO. 2322

 00270  
 HOWSON AND HOWSON  
 ONE SPRING HOUSE CORPORATION CENTER  
 BOX 457  
 321 NORRISTOWN ROAD  
 SPRING HOUSE, PA 19477
**FILING RECEIPT**
  
 \*0C000000011344298\*

Date Mailed: 11/25/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Ravi Prasad, Charlotte, NC;

DEC - 2 2003

**Assignment For Published Patent Application**

Hoechst Tresaphan GmbH, Neunkirchen, GERMANY;

**Domestic Priority data as claimed by applicant** 8/12/1998

This application is a CON of 09/135,229 08/17/1998 PAT 6,603,812

**Foreign Applications**

09/135,228 6,649,235

If Required, Foreign Filing License Granted: 11/22/2003

Projected Publication Date: 03/04/2004

Non-Publication Request: No

Early Publication Request: No

**Title**

Process for producing coated polymeric articles and the articles produced thereby

**Preliminary Class**

428

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## APPLICATION DATA SHEET

Application Information	
Application Number::	
Filing Date::	September 16, 2003
Application Type::	Regular
Subject Matter::	Utility
Suggested Classification::	
Suggested Group Art Unit::	
CD-ROM or CD-R::	None
Number of CD disks::	
Number of Copies of CDs::	
Sequence Submission?::	
Computer Readable Form (CRF)?::	No
Number of Copies of CRF::	
Title::	Process for Producing Coated Polymeric Articles and the Articles Produced Thereby
Attorney Docket Number::	HOETRE24ACON
Request for Early Publication?	No
Request for Non-Publication?	No
Suggested Drawing Figure::	
Total Drawing Sheets::	
Small Entity::	No
Latin name::	
Variety denomination name	
Petition Included::	No
Petition Type	
Licensed US Govt. Agency::	
Contract or Grant Number::	
Secrecy Order in Parent Application::	

Applicant Information	
Applicant Authority Type::	Inventor
Primary Citizenship Country::	India
Status::	Full Capacity
Given Name::	Ravi
Middle Name::	
Family Name::	Prasad
Name Suffix::	
City of Residence::	Charlotte
State or Province of Residence::	North Carolina
Country of Residence::	US
Street of Mailing Address::	9130 Kings Canyon Drive
City of Mailing Address::	Charlotte
State or Province of Mailing Address::	North Carolina
Country of Mailing Address::	US
Postal or Zip Code of Mailing Address::	28210

Correspondence Information	
Correspondence Customer Number::	00270
Name::	Howson and Howson
Street of Mailing Address	Spring House Corporate Center, Box 457
City of Mailing Address	Spring House
State or Province of Mailing Address	Pennsylvania
Country of Mailing Address	US
Postal or Zip Code of Mailing Address::	19477
Phone Number::	215-540-9200
Fax Number::	215-540-5818
E-Mail Address::	CKodroff@HowsonandHowson.com

Representative Information		
Representative Customer No. 00270	Registration Number	Name

Domestic Priority Information			
Application	Continuity Type	Parent Application	Parent Filing Date
This application	Continuation of	09/135,228	August 17, 1998

Foreign Priority Information			
Country	Application Number	Filing Date	Priority Claimed

Assignee Information	
Assignee Name::	Hoechst Trespaphan GmbH
Street of Mailing Address::	Bergstrasse, Neunkirchen
City of Mailing Address::	
State or Province of Mailing Address::	
Country of Mailing Address::	Germany
Postal or Zip Code of Mailing Address::	D-66539



EXHIBIT

C

PTO/SB/21 (03-03)  
 Approved for use through 4/30/2003. OMB 0651-0031  
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	10/663,934
		Filing Date	September 16, 2003
		First Named Inventor	Ravi Prasad
		Group Art Unit	1772
		Examiner Name	
Total Number of Pages In this Submission	3	Attorney Docket Number	HOETRE24ACON
<b>ENCLOSURES (check all that apply)</b>			
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ Remarks	
<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):			
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>			
Firm or Individual Name	HOWSON AND HOWSON Cathy A. Kodroff		
Signature	<i>Cathy A. Kodroff</i>		
Date	January 2, 2004		
<b>CERTIFICATE OF TRANSMISSION/MAILING</b>			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: January 2, 2004			
Typed or printed name	Lynn Brown		
Signature	<i>Lynn Brown</i>	Date	January 2, 2004

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CUSTOMER NO. 00270

HOETRE24ACON

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of ) Group Art Unit: 1772  
R. Prasad et al ) Examiner:  
Appln. No. 10/663,934 )  
Filed: September 16, 2003 )  
For: PROCESS FOR PRODUCING COATED )  
POLYMERIC ARTICLES AND THE )  
ARTICLES PRODUCED THEREBY ) January 2, 2004

Mailstop Non-Fee Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Sirs:

Please amend the above-identified application stated below:

In the Specification, Page 1, replace lines 8-9 in the paragraph below the caption  
"CROSS-REFERENCE TO RELATED APPLICATION"

with

-- This is a continuation of US Patent application No. 09/135,228, filed August  
17, 1998. --

Certificate of Mailing under 37 CFR 1.8

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United States Postal Service with sufficient postage as first class mail  
in an envelope addressed to: Commissioner for Patents, PO Box 1450  
Alexandria, VA 22313-1450 on January 2, 2004

Signature

Lynn Brown

Typed or printed

Lynn Brown

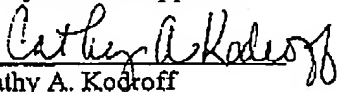
Applicants respectfully request entry of this amendment.

The Specification is being revised because of an incorrect serial number. US Patent Application No. 09/135,229 should be corrected to US Patent Application No. 09/135,228.

The correct serial number was provided with the initial Application Data Sheet filed with the application. Thus, the correct priority claim was submitted in a timely manner. No new matter is added by this amendment.

The Director of the US Patent and Trademark Office is authorized to charge any deficiency in the fee associated with the filing of this paper to deposit account 08-3040.

Respectfully submitted,  
HOWSON AND HOWSON  
Attorneys for the Applicant

By   
Cathy A. Kodroff  
Registration No. 33,980  
Spring House Corporate Center  
Box 457  
SpringHouse, PA 19477  
Phone: (215) 540-9200  
Fax: (215) 540-5818